## 1027 Rec'6901770 06 MAR 2006

Transmittal Letter To The United States
Designated/Elected Office (DO/EO/US) Concerning A
Filing Under 35 U.S.C. 371

Attorney Docket: 27275U

U.S. Applies 070805

International Application No.: PCT/IB2005/002266

International Filing Date: 25 Jul. 2005 (25.07.2005)

Priority Date Claimed: 5 Aug. 2004 (05.08.2004) IT

Title of Invention: AXIAL FAN

Applicants for DO/EO/US: SPAGGIARI, Alessandro

Applicant hereby submits to the Unites States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. \_ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- 3.  $\underline{X}$  This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. X The US has been elected (Article 31).
- 5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2)):
  - a.  $\underline{X}$  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. has been communicated by the International Bureau.
  - c. \_ is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6.  $\frac{X}{X}$  A English translation of the International Application as filed (35 U.S.C. 371(c)(2).
- 7.  $\underline{X}$  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. \_ are attached hereto (required only if not transmitted by the International Bureau).
  - b. have been communicated by the International Bureau.
  - c.  $\underline{\phantom{a}}$  have not been made; however, the time limit for making such amendments has NOT expired.
  - d. X have not been made and will not be made.
  - 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

### ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:

- 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12.  $\underline{X}$  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. X A FIRST preliminary amendment.
- 14. A SECOND or SUBSEQUENT preliminary amendment
- 15. A substitute specification.
- 16. A change of power of attorney and/or address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 1825
- 18.  $\_$  A second copy of the published international application under 35 USC 154(d)(4)
- 19. A second copy of the English language translation of the international application under 35 USC 154(d)(4)

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U.S. Application 10.805

International Application No.: PCT/IB2004/002266

Attorney Docket: 27275U

20. X Other items or information:

TRANSMITTAL LETTER; INTERNATIONAL PUBLICATION NUMBER: WO 2006/016229 A1, WITH PCT/ISA/210 (INTERNATIONAL SEARCH REPORT); INTERNATIONAL PUBLICATION DATE: 16 FEBRUARY 2006 (16.02.2006), WHICH CONSISTS OF 19 PAGES: 1 PAGE FOR THE COVER SHEET, WITH ABSTRACT, 10 PAGES OF TEXTUAL SPECIFICATION, 5 PAGES OF 20 CLAIMS, 3 SHEETS OF DRAWINGS; PRELIMINARY AMENDMENT, WITH ATTACHMENT A, TO BE EXAMINED; EXECUTED DECLARATION AND POWER OF ATTORNEY; EXECUTED ASSIGNMENT, WITH A RECORDATION COVER SHEET; INFORMATION DISCLOSURE STATEMENT; PTO FORM-1449, WITH 4 CITED REFERENCES; COPIES FOR 2 OF THE 4 CITED REFERENCES; PCT/ISA/237 (WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY); PCT/IB/301 (NOTIFICATION OF RECEIPT OF RECORD COPY); PCT/IB/304 (NOTIFICATION CONCERNING SUBMISSION OR TRANSMITTAL OF PRIORITY DOCUMENT); PCT/RO/101 (REQUEST); POSTCARD FOR EARLY NOTIFICATION OF THE SERIAL NUMBER REQUEST.

NOTIFIC?		RIAL NUMBER REQUEST		,6317,	FOSTCARD	LON DANDI
The following fees are submitted:				CALCULATION		PTO USE ONLY
21. $X$ Basic N	Basic National Fee (37 CFR 1.492(a)) \$ 300.00			\$	300.00	
22. X Examination Fee (37 CFR 1.492 (c))  If the written opinion prepared by ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					200.00	
23. X Search Fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)  \$ 0.00  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority				\$	400.00	
Total of 21, 22 and 23				\$	900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding Sequence listing or computer program listing filed in an electronic medium).  The fee is \$ 250.00 for each additional 50 sheets of paper or fraction thereof.  Total Sheets   Extra Sheets   # of each   RATE				-	<u> </u>	
		additional 50	KATE			
27-100 =	0/50 =	0	X \$250	\$	0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than2030 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	0.00	
CLAIMS	# FILED	# EXTRA	RATE			
TOTAL	20-20=	0	X \$50	\$	0.00	
INDEPENDENT	1-3=	0	X \$200	\$	0.00	
Multiple dependent claims (if applicable) + \$360				\$	0.00	
TOTAL OF ABOVE CALCULATIONS =				\$	900.00	
$\frac{X}{A}$ Applicant claims small entity status. Fees above are reduced by $\frac{1}{2}$ .						
SUBTOTAL =				\$	450.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$ <b>\$</b>	0.00	
	TOTAL NATIONAL FEE =				450.00	•
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	0.00	
TOTAL FEES ENCLOSED =				\$	450.00	
					ount to be: unded	\$
					ount to be:	\$

# IAPS REC'APCT/PTO 06 MAR 2006

U.S. Application No.:
Not Yet 0 8 0 5

International
Application No.:
PCT/IB2005/002266

Application No.:

- a. X A check in the amount of \$450.00 to cover the above fees is enclosed.
- b. \_ Please charge my Deposit Account Number: 14-0112 in the amount of \$ \_\_\_\_\_ to cover the above fees. (A duplicate copy of this sheet is enclosed.)
- c.  $\underline{X}$  The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account Number: 14-0112.
- d. \_ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit Card Information should not be included on this form. Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

Gary M. Nath

NATH & ASSOCIATES PLLC

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Customer No. 20529

MAIL STOP PCT

Attorney Docket: 27275U Date: March 6, 2005

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Art Unit: Unknown Examiner: Unknown

SPAGGIARI

Not Yet Assigned

Serial No.: Filed:

March **6**, 2006

International Application: International Filing Date:

PCT/IB2005/002266 July 25, 2005

Title:

AXIAL FAN

### TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US) Concerning Filing under 35 U.S.C. 371;
- International Publication Number: WO 2006/006043 Al, with PCT/ISA/210 (International Search Report); International Publication Date: 16 February 2006 (16.02.2006), which consists of 19 pages:
  - 1 page for the Cover Sheet, with Abstract,
  - 10 pages of Textual Specification,
  - 5 pages of 20 Claims,
  - 3 sheets of Drawings;
- Preliminary Amendment, with Attachment A, to be Examined;
- 4) Executed Declaration and Power of Attorney;
- 5) Executed Assignment, with a Recordation Cover Sheet;
- 6) Information Disclosure Statement;
- 7) PTO Form-1449, with 4 cited References;
- 8) Copies for 2 of the 4 cited References;
- 9) PCT/ISA/237 (Written Opinion of the International Searching Authority);
- 10) PCT/IB/301 (Notification of Receipt of Record Copy);
- 11) PCT/IB/304 (Notification Concerning Submission or Transmittal of Priority Document);
- 12) PCT/RO/101 (Request);
- 13) Check No.: 1757 in the Amount of \$ 450.00 for the Basic Filing Fee, which includes the Search and Examination Fees, as a Small Entity;
- 14) Postcard for Early Notification of the Serial Number Request.

Respectfully submitted, NATH & ASSOCIATES PLLC

Gary M. Nath, Reg. No. 26,96 Gregory B. Kang, Reg. No. 45

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